

## **Resources for Grantseekers**

Merete F. Gerli Information Research Specialist

April 3, 2013

**Congressional Research Service** 7-5700 www.crs.gov RL34012

#### **CRS Report for Congress**-

Prepared for Members and Committees of Congress

# Congressional Briefing Conference: Capitol Hill Workshop

Politics, Policy, and Process

# The definitive overview of how Congress works.

This intensive course is offered as a 3-day public Briefing and as a tailored on-site 3, 4 or 5-day program.

Public Briefings are offered throughout the year in Washington, DC. Space is limited. Dates, Agenda, Previous Faculty, and Secure Online Registration:

#### TCNCHW.com

On-site Congressional Briefings and Capitol Hill Workshops for agencies: CLCHW.com

## 703-739-3790 TheCapitol.Net



PO Box 25706, Alexandria, VA 22313-5706 703-739-3790 • www.thecapitol.net



TheCapitol.Net is on the GSA Schedule, 874-4, for custom on-site training GSA Contract GS02F0192X

MASON

Courses approved for CEUs from George Mason University

All of our courses and workshops include extensive interaction with our faculty, making our courses and workshops both educational as well as miniconsulting sessions with substantive experts.

Our Upcoming Schedule of Courses can be seen online on our web site or at TCNCourses.com.

All of our courses and any combination of their topics can be customized for on-site training for your organization—we are on GSA Advantage, Contract GS02F0192X.

thecapitol.net 703-739-3790

#### Summary

This report describes key sources of information on government and private funding, and outlines eligibility for federal grants. Federal grants are intended for projects benefiting states and communities. Individuals may be eligible for other kinds of benefits or assistance, or small busineses and students may be eligible for loans. Free information is readily available to grantseekers, who generally know best the details of their projects. The Catalog of Federal Domestic Assistance (CFDA) describes more than 2,200 federal programs, more than half of them grants, and can be searched by keyword, subject, department or agency, program title, beneficiary, and applicant eligibility. Federal department and agency websites provide additional information and guidance, and they provide state agency contacts. Once a program has been identified, eligible grantseekers may apply electronically for grants at the website Grants.gov through a uniform process for all agencies. Through Grants.gov, grantseekers may identify when federal funding notices and deadlines for a CFDA program become available, sign up for e-mail notification of funding opportunities, and track the progress of submitted applications.

Because government funds may be limited, the report also discusses sources of private and corporate foundation funding. The Foundation Center is a clearinghouse for information about private, corporate, and community foundations, with collections of resources in every state.

Included in this report are sources of information on writing grant proposals. See also CRS Report RL32159, *How to Develop and Write a Grant Proposal*, by Merete F. Gerli.

Sources described in this report are also included in the CRS website, Grants and Federal Domestic Assistance, by Luis A. DeCastro and Merete F. Gerli. Upon request, this web page may be added to a Member's home page. For congressional staff, see also CRS Report RL34035, *Grants Work in a Congressional Office*, by Merete F. Gerli.

This report will be updated at the beginning of every Congress and as needed.

## Contents

Introduction	1
Who is Eligible for a Government Grant?	2
Key Federal Sources	3
Federal Contacts in States and State Administering Agencies	4
Related Federal Sources	6
Private, Corporate, and Additional Funding Sources	6
Grant Proposal Writing Websites	8

#### Contacts

Author Contact Information
----------------------------

### Introduction

Congressional offices are often approached by constituents seeking grants for projects, including local governments, nonprofit groups, community organizations, small businesses, and individuals. Though many hope for federal funding, such assistance is often limited. Other funding sources such as private foundations may also be an option.

Federal grants are not benefits or entitlements to individuals. Grants are intended for projects serving state, community, and local needs. Most federal funding goes to state and local governments, which in turn may make sub-awards to local entities such as eligible nonprofit organizations. Local governments seeking funds for community services, infrastructure, and economic revitalization may be eligible to tap into state or federal funds. Government funding may also be available for nonprofit organizations, including faith-based groups, for initiatives such as establishing soup kitchens or after-school tutoring programs benefitting entire communities.

For others, such as for individuals seeking financial help, starting or expanding a small business, or needing funds for education, benefits or loans may be available.

- Individuals looking for government benefits (such as for child or health care, housing or energy costs, disability or veterans needs, or "living assistance") may find useful the website GovBenefits.gov at http://www.govbenefits.gov.
- Students seeking financial aid can search Student Aid on the web at http://www.Studentaid.ed.gov.
- To start or expand a small business, the federal government provides help in the form of loans and advisory and technical assistance. See the Small Business Administration (SBA) website at http://www.sba.gov to find programs and state or local SBA offices.

Groups seeking funding for projects need first to determine the most appropriate sources of funds. Because government funds may be limited, sources of private funding should also be considered. State and community foundations may be particularly interested in funding local projects; many projects may require a combination of government and private funding. Local business or private foundation funding might be appropriate for supporting local memorials or programs. Community fund-raising may be more suitable for school enrichment activities, such as band or sports uniforms or field trips.

For eligible state and local governments and nonprofit organizations, identifying appropriate programs, and then contacting federal and state agencies early in the process, before submitting formal applications, is recommended. State-located federal offices often handle federal grant applications and disbursement of funds. State government departments and agencies also fund projects and administer federal block grants.

#### Who is Eligible for a Government Grant?

Many groups or organizations are eligible to apply for government grants. Typically, most grantees fall into the following categories:<sup>1</sup>

- Government Organizations
  - State Governments
  - Local Governments
  - City or Township Governments
  - Special District Governments
  - Native American Tribal Governments (federally recognized)
  - Native American Tribal Governments (other than federally recognized)
- Education Organizations
  - Independent School Districts
  - Public and State Controlled Institutions of Higher Education
  - Private Institutions of Higher Education
- Public Housing Organizations
  - Public Housing Authorities
  - Indian Housing Authorities
- Non-Profit Organizations
  - Nonprofits having a 501(c)(3) status with the IRS, other than institutions of higher education
  - Nonprofits that do not have a 501(c)(3) status with the IRS, other than institutions of higher education
- For-Profit Organizations (other than small businesses)
- Individuals (such as Pell Grants for needy students)

Some constituents may have seen or heard media advertisements claiming federal grants are available to help them. However, the Federal Trade Commission (FTC), the nation's consumer protection agency, cautions grantseekers:<sup>2</sup>

Sometimes, it's an ad that claims you will qualify to receive a "free grant" to pay for education costs, home repairs, home business expenses, or unpaid bills. Other times, it's a phone call supposedly from a "government" agency or some other organization with an

<sup>&</sup>lt;sup>1</sup> Grants.gov website Who is Eligible for a Grant? at http://www.grants.gov/aboutgrants/eligibility.jsp.

<sup>&</sup>lt;sup>2</sup> Federal Trade Commission, FTC Consumer Alert, "Free Government Grants: Don't Take Them For Grant-ed," September 2006; at http://www.ftc.gov/bcp/edu/pubs/consumer/alerts/alt134.shtm.

official sounding name. In either case, the claim is the same: your application for a grant is guaranteed to be accepted, and you'll never have to pay the money back.

But, warns the FTC, these "money for nothing" grant offers usually are misleading, whether you see them in your local paper, through the Internet, or hear about them on television or receive a phone call. Consumers should beware of paying "processing fees" for information that is available free to the public. Ads claiming federal grants are available for home repairs, home business, unpaid bills, or other personal expenses are often scams.

#### **Key Federal Sources**

Catalog of Federal Domestic Assistance (General Services Administration) http://www.cfda.gov

The Catalog of Federal Domestic Assistance (CFDA) is the primary source of information on federal grants and nonfinancial assistance programs. Actual funding depends upon annual budget appropriations. For example, some authorized federal programs may be described in the Catalog but Congress may choose not to fund them in a certain budget year. Key features of CFDA include the following:

- Describes some 2,200 federal domestic assistance programs, financial and nonfinancial assistance programs administered by the departments and agencies of the federal government; approximately 1,700 of these are grants programs.
- Allows grantseekers to identify federal programs that might provide support for their projects, either directly, or through grants to states and local governments that in turn make sub-awards to local grantseekers.
- Available free to the public, searchable full-text, and updated continuously on the web.
- Enables searching by keyword; or by other useful browsable listings, such as by subject, by department or agency, by applicant eligibility, by beneficiary, or by other category.
- For each program, describes objectives of the program, eligibility requirements, the application and award process, post assistance requirements, past fiscal year obligations and future estimates, program accomplishments and examples of funded projects, related CFDA programs, and information contacts, including regional or local offices of federal agencies if applicable.
- Links to department and agency websites and to Office of Management and Budget (OMB) circulars affecting program management and record-keeping requirements.
- Includes information on developing and writing grant proposals: provides guidance in formulating federal grant applications, proposal development, basic components of a proposal, review recommendations, and referral to federal guidelines and literature.

Grants.gov http://www.grants.gov

FedConnect https://www.fedconnect.net

After grantseekers identify federal programs in CFDA and contact agencies (see section below), they may be directed to register and apply at websites such as Grants.gov or FedConnect when application announcements for competitive grants become available. The websites allow grantseekers to register and download applications for current competitive funding opportunities from all 26 federal grants-making agencies. Grantseekers themselves can check on notices of funding availability (NOFAs) or requests for proposals (RFPs); sign up to receive e-mail notification of grant opportunities; and apply for federal grants online through a unified process. The sites also guide grantseekers in obtaining Dun and Bradstreet (DUNS) numbers (follow instructions at http://www.grants.gov/applicants/org\_step1.jsp), and registering with the System for Award Management (SAM; follow instructions at http://www.grants.gov/applicants/org\_step1.jsp), both required for all federal grants.

To download and submit an application from Grants.gov or FedConnect, registration is required. The sites provide a narrated tutorial on how to complete a grant application package and a Frequently Asked Questions (FAQs) page. Once an application is submitted, grants applicants can then track progress of their application using their unique ID and password. Applications can be identified by CFDA number, funding opportunity number, competition ID, or tracking number.

## Federal Contacts in States and State Administering Agencies

For eligible state and local governments and nonprofit organizations, after identifying appropriate programs it is recommended grantseekers contact federal and state agencies early in the process, before submitting formal applications. State-located federal offices may handle federal grant applications and disbursement of funds. State government departments and agencies administer federal formula and block grants, and also fund projects.

Federal Agency Regional and Local Office Addresses (from CFDA)

https://www.cfda.gov/?s=appendix&mode=list&tab=list

Many federal departments and agencies have state or regional offices that grantseekers can contact for additional program information and application procedures. Much of the federal grant budget moves to the states through formula and block grants. State, regional, and local federal offices often handle grants applications and funds disbursement. Each federal agency has its own procedures: applicants should call the department or agency in question before applying for funding to obtain the most up-to-date information.

#### State Administering Agencies or Contacts

Many federal grants, such as formula and block grants, are awarded directly to state governments, which then set priorities and allocate funds within that state. For more information on how a state intends to distribute federal formula funds, grantseekers can contact the State Administering Agency (SAA). State government agencies are familiar with federal program requirements, can

#### Congressional Research Service

assist local governments and nonprofit organizations with proposals, and can provide other guidance.

Many federal department and agency websites include SAAs, and often the site will have an interactive U.S. map. Grantseekers can click on their state and obtain program and state contact information. A selection of some executive department websites includes the following:<sup>3</sup>

- Agriculture Rural Development State Contacts http://www.rurdev.usda.gov/recd\_map.html
- National Endowment for the Arts (NEA) Partners http://www.arts.gov/partner/state/SAA\_RAO\_list.html
- Commerce Offices and Services http://www.commerce.gov/about-commerce/services
- Education (ED) State Contacts http://www.ed.gov/about/contacts/state/index.html
- Energy (DOE) Efficiency & Renewable Energy in My State http://apps1.eere.energy.gov/states/
- Environmental Protection Agency (EPA) Grant Regional Office http://www.epa.gov/ogd/grants/regional.htm
- Federal Emergency Management Agency (FEMA) State Offices and Agencies http://www.fema.gov/about/contact/statedr.shtm
- Health and Human Services (HHS), Social Services Block Grants State Officials & Program Contacts https://www.acf.hhs.gov/programs/ocs/resource/ssbg-state-officials-program-contacts
- Homeland Security (DHS) State Homeland Security Contacts http://www.dhs.gov/state-homeland-security-contacts
- Housing and Urban Development (HUD) State/Local Offices http://portal.hud.gov/hudportal/HUD?src=/localoffices
- National Endowment for the Humanities (NEA) State Councils http://www.neh.gov/whoweare/statecouncils.html
- Office of Justice Programs (OJP) State Administering Agencies http://www.ojp.usdoj.gov/saa/
- Labor (DOL) Education and Training Administration, State and Local Contacts http://www.doleta.gov/regions/statecontacts/
- Small Business Administration http://www.sba.gov/localresources/index.html
- Transportation, Federal Transit Administration (FTA) Regional Offices http://www.fta.dot.gov/12926.html

<sup>&</sup>lt;sup>3</sup> Compiled by the Congressional Research Service (CRS) from executive department and agency websites.

• Veterans Affairs State/Territory Offices http://www.va.gov/statedva.htm

**State Single Point of Contact** (Office of Management and Budget) http://www.whitehouse.gov/omb/grants\_spoc

States often require federal grants applicants to submit a copy of their application for state government review and comment, and many (but not all) have designated a state Single Point of Contact (SPOC). The state offices listed here coordinate government grants development and may provide guidance to grantseekers.

## **Related Federal Sources**

A-Z Index of U.S. Government Departments and Agencies (General Services Administration) http://www.usa.gov/directory/federal/index.shtml

To better develop a grant proposal, search a department or agency's home page to learn more about its programs and objectives. The site also includes the following:

- Government Benefits, Grants and Financial Aid http://www.usa.gov/Citizen/Topics/Benefits.shtml
- USA.gov for Nonprofits
   http://www.usa.gov/Business/Nonprofit.shtml
   Links to federal department and agency information and services, fundraising and outreach, grants, loans and other assistance, laws and regulations, management and operations, registration and licensing, and taxes.
- Businesses and Nonprofits http://www.usa.gov/Business/Business\_Gateway.shtml Links to useful sites, including financial assistance, for small business, government contractors, and foreign business in the United States.

**Grants Management Website** (Office of Management and Budget) http://www.whitehouse.gov/omb/grants\_circulars

OMB establishes government-wide grants management policies and guidelines through circulars and common rules. OMB circulars are cited in CFDA program descriptions. Circulars target grants recipients and audit requirements for educational institutions, state and local governments, and non-profit organizations.

## Private, Corporate, and Additional Funding Sources

#### Foundation Center

http://www.foundationcenter.org/

Information gateway to the grant seeking process, private funding sources (including national, state, community, and corporate foundations), guidelines on writing a grants proposal, addresses of libraries in every state with grants reference collections, and links to other useful Internet

websites. The center maintains a comprehensive database on foundation grantsmanship, publishes directories and guides, conducts research and publishes studies in the field, and offers a variety of training and educational seminars. Free information on the website includes the following:

- Guide to Funding Research http://foundationcenter.org/getstarted/tutorials/gfr/index.html
- Foundation Finder http://lnp.foundationcenter.org/finder.html Search for information about more than 70,000 private and community foundations.
- Proposal Writing Short Course http://fdncenter.org/learn/shortcourse/prop1.html Free tutorial on developing a good grant proposal; also in Spanish, French, and other languages.
- Foundation Center Cooperating Collections http://foundationcenter.org/collections/ Libraries in every state providing the Foundation Directory Online and free funding information for grantseekers.

**Community Foundations Locator** (Council on Foundations) http://www.cof.org/whoweserve/community/resources/index.cfm?navItemNumber=15626

Community foundations may be particularly interested in funding local projects and maintain diverse grants programs.

**Funding Sources** (Grantsmanship Center) http://tgci.com/funding.shtml

The website provides listings by state of top grantmaking, community, and corporate foundations that grantseekers might consider in identifying likely sources of private foundation funding.

**Grants and Related Resources** (Michigan State University Libraries) http://staff.lib.msu.edu/harris23/grants/index.htm

The site provides government and private grants resources, primarily Internet, by subject or group categories, and is updated frequently. Webpages include

Funding for Business and Economic Development http://staff.lib.msu.edu/harris23/grants/2biz.htm

Grants for Nonprofit http://staff.lib.msu.edu/harris23/grants/2sgalpha.htm

Grants for Individuals (primarily financial aid and scholarships) http://staff.lib.msu.edu/harris23/grants/3subject.htm

#### Congressional Research Service

# Learn how Capitol Hill really works

#### All of our programs and any combination of their topics can be tailored for on-site training for your organization.

For more than 30 years, TheCapitol.Net and its predecessor, Congressional Quarterly Executive Conferences, have been training professionals from government, military, business, and NGOs on the dynamics and operations of the legislative and executive branches and how to work with them.

Our training and publications include congressional operations, legislative and budget process, communication and advocacy, media and public relations, research, testifying before Congress, legislative drafting, critical thinking and writing, and more.

- **Diverse Client Base**—We have tailored hundreds of custom on-site training programs for Congress, numerous agencies in all federal departments, the military, law firms, lobbying firms, unions, think tanks and NGOs, foreign delegations, associations and corporations, delivering exceptional insight into how Washington works.<sup>™</sup>
- **Experienced Program Design and Delivery**—We have designed and delivered hundreds of custom programs covering congressional/legislative operations, budget process, media training, writing skills, legislative drafting, advocacy, research, testifying before Congress, grassroots, and more.
- **Professional Materials**—We provide training materials and publications that show how Washington works. Our publications are designed both as course materials and as invaluable reference tools.
- Large Team of Experienced Faculty—More than 150 faculty members provide independent subject matter expertise. Each program is designed using the best faculty member for each session.
- **Non-Partisan**—TheCapitol.Net is non-partisan.
- **GSA Schedule**—TheCapitol.Net is on the GSA Schedule, 874-4, for custom on-site training: GSA Contract GS02F0192X.

We help your staff, members, and executives better understand Washington and Congress.™

Contract GS02F0192X

Advantage!"

GSA

Please see our Capability Statement on our web site at **TCNCS.com**.

Custom training programs are designed to meet your educational and training goals, each led by independent subject-matter experts best qualified to help you reach your educational objectives and align with your audience.

As part of your custom program, we can also provide classroom space, breaks and meals, receptions, tours, and online registration and individual attendee billing services.

For more information about custom on-site training for your organization, please see our web site: **TCNCustom.com** or call us: 703-739-3790, ext 115.



PO Box 25706, Alexandria, VA 22313-5706 www.TheCapitol.Net 703-739-3790



## **Grant Proposal Writing Websites**

A number of websites provide guidance, tips, and sample proposals. Constituents may also request from congressional offices CRS Report RL32159, *How to Develop and Write a Grant Proposal*, by Merete F. Gerli, which discusses standard content and formats. Websites that may be useful include the following:

- Developing and Writing Grant Proposals (CFDA) https://www.cfda.gov/?static=grants&s=generalinfo&mode=list&tab=list& tabmode=list
- How to Write a Grant Proposal for a Non-Profit Organization http://www.ehow.com/how\_5915785\_write-grant-proposal-non\_profitorganization.html
- How to Write a Non Profit Grant Proposal http://www.ehow.com/ how\_7455798\_write-non-profit-grant-proposal.html
- Proposal Writing Short Course (Foundation Center) http://fdncenter.org/learn/shortcourse/prop1.html (also has sample proposals)
- Sample Proposals (SchoolGrants.org) http://www.k12grants.org/samples/
- Selected Proposal Writing Websites (University of Pittsburgh) http://www.pitt.edu/~offres/proposal/propwriting/websites.html
- Writing a Successful Grant Proposal (Minnesota Council on Foundations) http://www.mcf.org/mcf/grant/writing.htm

#### **Author Contact Information**

Merete F. Gerli Information Research Specialist mgerli@crs.loc.gov, 7-7109