Summary

The 2001 terrorist attacks, Hurricane Katrina, and concerns about an influenza (“flu”) pandemic have sharpened congressional interest in the nation’s systems to track and respond to public health threats. The 109th Congress reauthorized or established relevant programs in the Departments of Health and Human Services (HHS) and Homeland Security (DHS). In its second session, the 110th Congress has focused its oversight of these programs on (1) the fitness of HHS and DHS — in terms of authority, funding, policies, and workforce — to respond to complex health emergencies; (2) how well they and other federal agencies coordinate their efforts; (3) the status of major federal initiatives, such as pandemic flu preparedness and disaster planning for at-risk populations; and (4) the effect of the upcoming presidential transition on authorities and programs that were established during the current administration. This report, which will be updated, discusses key issues in public health and medical preparedness and response, citing additional CRS reports and other resources.

Background and Legislation in the 109th Congress

The Pandemic and All-Hazards Preparedness Act (PAHPA, P.L. 109-417), passed in 2006, extended programs for public health emergency preparedness and response activities in HHS, and established a Biomedical Advanced Research and Development Authority (BARDA) in HHS to develop medical countermeasures (e.g., diagnostic tests, drugs, and vaccines). The Post-Katrina Emergency Management Reform Act of 2006 (PKA, Title VI of P.L. 109-295) reorganized DHS and, within it, the Federal Emergency Management Agency (FEMA). PKA also codified the position of Chief Medical Officer, with primary responsibility within DHS for medical issues related to natural and man-made disasters and terrorism.1

Issues in the 110th Congress

Federal Leadership and Coordination. Pursuant to PAHPA and PKA, HHS’s efforts are led by the Assistant Secretary for Preparedness and Response, and related activities in DHS are led by the Assistant Secretary for Health Affairs and Chief Medical Officer. The PKA provided that the DHS Chief Medical Officer “shall have the primary responsibility within the Department for medical issues related to natural disasters, acts of terrorism, and other man-made disasters,” while PAHPA provided that the “Secretary of [HHS] shall lead all Federal public health and medical response to public health emergencies and incidents...” (Emphasis added.) The Government Accountability Office (GAO) noted, in the context of pandemic flu planning, that “… these leadership roles involve shared responsibilities, and it is not clear how these would work in practice.” GAO recommended that DHS and HHS conduct training and exercises to ensure that federal leadership roles are clearly defined and understood.

The Presidential Transition. The transition to a new administration in January 2009 will mark the first such transition for agencies and programs that were established following the 2001 terrorist attacks. These include the Office of the HHS ASPR and all of the newly established (versus “legacy”) agencies and programs in DHS, including the Office of Health Affairs (OHA). The transition may be especially challenging for OHA, which is in the midst of rapid growth in funding and staffing. OHA was established (first as the office of the Chief Medical Officer) in 2005, received $2 million in FY2006, and grew to $117 million in FY2008, with $161 million requested for FY2009. OHA is in the process of hiring permanent staff to carry out a variety of new responsibilities.

HHS Response Capability. The 110th Congress may consider the adequacy of permanent authorities of the HHS Secretary for responding to public health threats, including authority to declare a public health emergency. Members of Congress may also consider how HHS funds disaster response activities that are not reimbursable by FEMA. Though the HHS Secretary has authority for a no-year Public Health Emergency Fund, Congress has not appropriated monies to the fund for many years.

State Grants for Public Health and Hospital Preparedness. Since 2002, Congress has provided more than $9 billion in grants to states to strengthen public health and hospital preparedness for public health threats. The PAHPA extended the programs, adding authority to withhold funds for failure to meet program requirements, a state...
matching requirement, and a requirement that the Secretary of HHS publish certain information about program activities and performance on a public website.6

**Biodefense Research.** Several federal agencies support biodefense research. These include the Science and Technology Directorate in DHS, the National Institutes of Health in HHS, the Department of Defense, and the U.S. Department of Agriculture (USDA). The National Biodefense Analysis and Countermeasures Center (NBACC) was recently established by DHS to study biological threats, assess vulnerabilities and potential consequences, and establish a national capability for forensic analysis of evidence from bio-crimes and terrorism. DHS has also requested funding to build a new facility, the National Bio- and Agro-Defense Facility (NBAF), to house high-containment laboratories for the study of foreign animal diseases, such as Foot and Mouth Disease.7

**Project BioShield.** The 108th Congress launched Project BioShield to encourage the development of countermeasures that lack commercial markets. The 109th Congress required, in PAHPA, that the HHS Secretary develop and publish a strategic plan to guide HHS countermeasures research, development, and procurement. PAHPA also established the Biodefense Advanced Research and Development Authority (BARDA) in HHS to help implement the strategic plan, support countermeasure development, and facilitate communication between the government and developers. The 110th Congress has remained interested in the progress of Project BioShield and the establishment and effectiveness of BARDA.8

**Pandemic Influenza Preparedness.** To prepare for the threat of a human flu pandemic, the 109th Congress provided $6.1 billion in emergency supplemental funding for FY2006. Most of this funding supports an HHS initiative to expand domestic vaccine production capacity. In addition to oversight of federal spending for pandemic flu, Congress may be interested in other matters, such as (1) federal coordination of pandemic preparedness and response; (2) state, local, and private sector pandemic preparedness; (3) the impact of avian flu on affected countries; and (4) the possible effects of a flu pandemic on global trade and commerce.9

6 See CRS Report RL33589.

7 See CRS reports on homeland security research and development at [http://apps.crs.gov/cli/cli.aspx?PRDS_CLIIITEM_ID=589&from=3&fromId=19].


**Disaster Victims and Health Care.** There is no federal assistance program designed purposely to cover the uninsured or uncompensated costs of individual health care that may be needed as a consequence of a disaster, nor is there consensus that this should be a federal responsibility.¹⁰ Faced with a catastrophic incident, hospitals, physicians, and other providers could be under considerable pressure to deliver care to uninsured individuals without a clear source of reimbursement. Proposals introduced in the 110th Congress (H.R. 6569/S. 3312) would authorize the HHS Secretary to use a special fund to provide temporary emergency health care coverage for uninsured individuals affected by public health emergencies. Several bills in the 110th Congress would address the health care needs of responders and others who were exposed to hazards at the World Trade Center following the 2001 terrorist attack, and who are now experiencing health problems.¹¹ Following Hurricane Katrina, Congress provided $2 billion to cover the state share of Medicaid costs associated with evacuees and individuals living in declared disaster areas (for states with approved federal waivers), and to restore access to care in affected areas.¹² The Louisiana Health Care Redesign Collaborative was developed to propose options for rebuilding the healthcare system in southern Louisiana through a Medicaid waiver and Medicare demonstration proposal.¹³

Health emergencies often involve scarcities of resources, including personnel, equipment, drugs, and vaccines. Prioritizing the use of these resources to maximize benefit requires careful study of scientific and medical evidence, and raises complex legal and ethical questions that are best considered before emergencies arise.¹⁴ Also, many are concerned that the nation’s health care system, which is often overburdened by routine demands, would not be able to handle surges in demand that could result from some types of disasters.¹⁵ The PAHPA requires the HHS Secretary to assess national medical response capability in a quadrennial National Health Security Strategy, and authorizes HHS to acquire mobile medical assets, such as field hospitals.¹⁶ Finally, Congress may

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¹¹ See, for example, H.R. 1414/S. 201, H.R. 1247, and H.R. 3543.


¹⁶ See CRS Report RL33589.
consider the effectiveness of programs to deliver mental health counseling services to disaster victims, and whether these services are well coordinated and well targeted.\textsuperscript{17}

\textbf{Vulnerable Populations.} The terrorist attacks of 2001 and the hurricanes of 2005 showed that some people may be at greater risk, or more in need of special services, during and following a disaster. The PAHPA required the Secretary of HHS to consider, in planning, the needs of \textit{at-risk individuals}, defined as children, pregnant women, senior citizens, and others as determined by the Secretary. The PKA required the head of FEMA to appoint a Disability Coordinator, charged, among other things, with coordinating emergency management policies and practices for individuals with disabilities.\textsuperscript{18}

\textbf{Authorities to Control Communicable Diseases.} The response to communicable disease threats may involve movement restrictions, business and school closures, compulsory treatments, and other constraints. While state and local governments have the primary authority over these domestic containment measures, a comprehensive response to a public health emergency may involve overlapping governmental authorities and attendant legal and economic issues. Recent incidents have expanded Congress’s longstanding interest in the security of U.S. borders to include concerns about communicable diseases in travelers, which is a matter of federal jurisdiction. These incidents have brought into question the divisions of authority and effectiveness of coordination among federal agencies that are responsible for disease control, and for the security of the borders and the transportation infrastructure. Policy makers have noted that if these systems are unable to respond to common and expected infectious disease threats such as tuberculosis, they may also be unable to respond to more serious threats such as pandemic flu or bioterrorism. Effective solutions are elusive, but would ideally address scientific, technical, and economic constraints; the balance of individual and collective rights; and the roles of federal, state, and local authorities, and foreign governments.\textsuperscript{19}

\textbf{Workforce Surge Capacity.} HHS manages several health professions programs geared toward alleviating shortages and maldistributions of physicians, nurses, and others who provide individual health care services. The public health workforce has, in contrast, received little federal attention until recently. The PAHPA authorized a loan repayment demonstration project for individuals who serve in state or local health departments in defined areas of need. Other efforts to bolster the ranks of health professionals for emergency response also include ensuring civil liability protection for volunteer health professionals and establishing a system to verify their licenses and

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\textsuperscript{17} See CRS Report RL33738.


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credentials. While efforts are ongoing among states and on the federal level, a uniform system for protection of volunteer health professionals does not yet exist.\textsuperscript{20}

**Liability, Compensation, and Intellectual Property Issues.** In December 2005, Congress passed Department of Defense Emergency Supplemental Appropriations, 2006 (P.L. 109-148), including Division C, titled the “Public Readiness and Emergency Preparedness Act” (PREP Act). Upon a declaration of emergency, the PREP Act eliminates liability, except in the case of willful misconduct, of manufacturers and others involved in the production and use of countermeasures.\textsuperscript{21} In February 2007, HHS Secretary Leavitt made such a declaration with respect to pandemic flu vaccine.\textsuperscript{22} The law also establishes, in the U.S. Treasury, a “Covered Countermeasure Process Fund,” and requires the HHS Secretary to develop a compensation mechanism for those who may be harmed by a covered countermeasure. As of FY2008, the fund has not received an appropriation; none is requested for FY2009. Finally, intellectual property protections may affect the availability of countermeasures by making them more commercially attractive to developers, or more costly to purchasers, including governments.\textsuperscript{23}

**Expired Program Authorities.** The 110\textsuperscript{th} Congress may consider reauthorization of some expired preparedness and response programs.\textsuperscript{24} Proposed legislation (S. 3127/H.R. 6671) would reauthorize the Select Agent Program, which is jointly managed by the Centers for Disease Control and Prevention (CDC) and USDA’s Animal and Plant Health Inspection Service (APHIS) to regulate certain biological pathogens and toxins that could be used for bioterrorism. Program authority expired at the end of FY2007.\textsuperscript{25} Authority for HHS health professions programs expired in 2002. These programs have not focused on emergency preparedness and response in the past, though the last reauthorization in 1998 preceded heightened concerns in this area.\textsuperscript{26} Finally, authority for the Strategic National Stockpile of countermeasures has been amended since the terror attacks of 2001, but general program authority expired at the end of FY2006 and has not been extended.\textsuperscript{27}


\textsuperscript{22} 72 Federal Register 4710-4711, February 1, 2007.


\textsuperscript{26} See CRS Report RL32546.

\textsuperscript{27} See CRS Report RL33589.