

CRS Report for Congress

Received through the CRS Web

FY2006 Appropriations for State and Local Homeland Security

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Summary

In the FY2006 budget request, the Administration proposes roughly \$3.36 billion for state and local homeland security assistance programs. This is \$250 million less than these programs were appropriated in FY2005 (\$3.61 billion). The Administration proposes to combine the Law Enforcement Terrorism Prevention Program (appropriated \$400 million) with the State Homeland Security Grant Program and the Urban Area Security Initiative. It also arguably proposes to transfer five Urban Area Security Initiative sub-grants (rail, port, intercity bus, trucking industry, and non-governmental security grants) to a new Targeted Infrastructure Protection Program. Additionally, the Administration proposes to change the current formula used to allocate State Homeland Security Grant Program funds to states and localities. This report discusses these changes.

The report will be updated as circumstances warrant.

This report is a preliminary overview of the Administration's FY2006 budget request for selected programs of homeland security assistance to state and local first responders: firefighters, emergency medical personnel, law enforcement officers, etc. The report also describes proposed changes in the administrative arrangements through which this homeland security assistance would be allocated to state and local governments.

The report does not cover public safety and preparedness assistance programs.¹ It covers only programs that are intended to help state and local recipients enhance their preparedness for terrorist attacks and that are administered by the Office for Domestic

¹ Programs not covered include general assistance grant programs that are authorized for a broad range of public safety activities, such as the Local Law Enforcement Block Grant, the Byrne Memorial Formula Grant, and Community-Oriented Policing Services. Nor does this report track appropriations for bioterrorism preparedness and National Guard funding.

Preparedness (ODP), within the Office for State and Local Government Coordination and Preparedness (SLGCP):

- State Homeland Security Grant Program (SHSGP);
- Targeted Infrastructure Protection Program (TIPP) — a new proposal;
- Urban Area Security Initiative (UASI);
- Assistance to Firefighters (FIRE);
- Emergency Management Performance Grants (EMPG); and
- Citizen Corps Programs (CCP).

Although these programs are not the only federal homeland security assistance available to state and local governments, their aggregate FY2005 appropriation of roughly \$3.59 billion suggests the level of importance Congress has assigned to enhancing the preparedness of state and local first responders to deal with terrorist attacks.

Administrative Changes. The Administration's budget request proposes to make the following changes to the federal homeland security assistance administered by ODP:

(1) merging the Law Enforcement Terrorism Prevention Program activities into the State Homeland Security Grant Program and the Urban Area Security Initiative;

(2) transferring five Urban Area Security Initiative sub-grants to a new Targeted Infrastructure Protection Program; and

(3) changing the formula used to allocate State Homeland Security Grant Program funds to states and localities.

Law Enforcement Terrorism Prevention. In the FY2006 budget request, the Administration provides no line item funding for the Law Enforcement Terrorism Prevention Program (LETTP). It proposes, however, to direct states and localities to allocate no less than 20% of State Homeland Security Grant Program (SHSGP) and Urban Area Security Initiative (UASI) funding for LETTP activities.² Apparently, this is a reduction in funding for both of these grant programs.

In FY2005, Congress appropriated \$1.1 billion for SHSGP, and states and localities were authorized to use this funding for homeland security equipment, training, exercises, and planning.³ By requiring states and localities to allocate no less than 20% (\$204 million) of the FY2006 budget request amount of \$1.02 billion for SHSGP for LETTP activities, the Administration proposes that ODP allocate \$816 million to states and localities for homeland security equipment, training, exercises, and planning. This is \$284 million less than the FY2005 appropriated amount for SHSGP. In FY2005, Congress appropriated \$860 million for UASI high-threat, high-risk urban areas for

² U.S. Office of Management and Budget, *Fiscal Year 2006 Budget for the United States Government* (Washington: GPO, Feb. 2005), Appendix, p. 478.

³ P.L. 108-334 (FY2005 DHS appropriations).

homeland security equipment, training, exercises, and planning.⁴ By requiring high-threat, high-risk urban areas to allocate no less than 20% (\$204 million) of the FY2006 budget request amount of \$1.02 billion for UASI for LETPP activities, the Administration proposes that ODP allocate \$816 million to high-threat, high-risk urban areas for homeland security equipment, training, exercises, and planning. This is \$44 million less than the FY2005 appropriated amount for UASI.

Targeted Infrastructure Protection. The Administration proposes the establishment of a new state and local homeland security assistance program, the Targeted Infrastructure Protection Program (TIPP), and requests \$600 million for the program. TIPP would provide funding to enhance the security of port, transit systems, and other infrastructure, as determined by the DHS Secretary. Part of the TIPP funds — \$50 million — would be used for implementing buffer zone protection plans.⁵

Because the Administration did not request funding for port, rail, intercity bus, trucking industry, and non-governmental organization security programs in the FY2006 budget request, one could argue that TIPP is intended to replace these UASI sub-grants. TIPP would allow the DHS Secretary to determine which states and localities would receive funding for targeted infrastructure protection.

Proposed Formula Changes.⁶ The Administration proposes to change the formula for ODP's State Homeland Security Grant Program (SHSGP). The FY2006 budget request proposes \$1.02 billion for SHSGP to be allocated based on risks, threats, vulnerabilities, and unmet first responder capabilities, provided each state and territory is allocated no less than 0.25% of total funds appropriated for this program. Additionally, the Administration does not request funding for the Law Enforcement Terrorism Prevention Program (LETPP), but it proposes that 20% of SHSGP and UASI funding be used for LETPP activities.⁷

Citizen Corps Programs (CCP), and the Emergency Management Performance Grants (EMPG) would continue to be allocated based on Section 1014 of the USA PATRIOT Act (P.L. 107-56), which guarantees each state a minimum of 0.75% of total appropriated funds for these programs.⁸ There is no proposed formula change for UASI, except that 20% of total appropriations would be used for law enforcement terrorism prevention activities. Additionally, there is no proposed formula change for the Assistance to Firefighters Program (FIRE); however, the Administration proposes that FIRE applications to enhance terrorism response capabilities be given priority.⁹

⁴ Ibid.

⁵ *Fiscal Year 2006 Budget for the United States Government*, Appendix, p. 478.

⁶ At this time, four bills have been introduced in the 109th Congress that propose to change the formula that allocates federal homeland security assistance to states and localities: H.R. 91; H.R. 228; S. 21; and S. 140.

⁷ *Fiscal Year 2006 Budget for the United States Government*, Appendix, p. 478.

⁸ Ibid.

⁹ Ibid., p. 480.

Table 1. FY2005 Appropriations and FY2006 Budget Request for State and Local Homeland Security Assistance

(All amounts in millions)

SLGCP Program	FY2005 Appropriations	FY2006 Budget Request
State Homeland Security	\$1,100	\$1,020 ^A
<i>equipment, training, exercises, planning</i>	[\$1,100]	[\$816]
<i>law enforcement terrorism prevention</i>	—	[\$204]
Targeted Infrastructure Protection	—	\$600 ^B
Urban Area Security Initiative	\$1,200	\$1,020 ^C
<i>High-threat, high-risk urban areas (equipment, training, exercises, planning)</i>	[\$860]	[\$816]
<i>High-threat, high-risk urban areas (law enforcement terrorism prevention)</i>	—	[\$204]
<i>Port Security</i>	[\$150]	—
<i>Rail Security</i>	[\$150]	—
<i>Trucking Industry Security</i>	[\$5]	—
<i>Intercity Bus Security</i>	[\$10]	—
<i>Non-governmental Organizations Security</i>	[\$25]	—
Law Enforcement Terrorism Prevention	\$400	[\$408] ^P
Assistance to Firefighters	\$715	\$500
Emergency Management Performance Grants	\$180	\$170 ^E
Citizen Corps	\$15	\$50 ^F
State and Local Homeland Security Total	\$3,610	\$3,360

Source: P.L. 108-334 (FY2005 DHS appropriations), and U.S. Office of Management and Budget, *Fiscal Year 2006 Budget of the United States Government* (Washington: GPO, Feb. 2005), Appendix, pp. 478-480.

A. This amount to be allocated on risks, threats, vulnerabilities, and unmet first responder capabilities as determined by the DHS Secretary, provided that each state and territory receives no less than 0.25% of funds appropriated for this program. Additionally, 20% of this amount is to be used for law enforcement terrorism prevention activities.

B. This amount to be allocated at the discretion of the DHS Secretary and is for assistance in securing ports, transit systems, and other infrastructure determined by the DHS Secretary. Additionally, \$50 million is to be used for buffer zone protection plans.

C. This amount to be allocated to high-threat, high-risk urban areas at the discretion of the DHS Secretary based on risks, threats, vulnerabilities, and unmet first responder capabilities.

D. This amount is 20% of the \$1.02 billion requested for the State Homeland Security Grant Program and the \$1.02 billion for the Urban Area Security Initiative for law enforcement terrorism prevention activities.

CRS-5

E. This amount is to be allocated based on P.L. 107-56, Section 1014, which guarantees each state a minimum of 0.75% of total appropriated funds.

F. Ibid.